

I. Commissions & Trades	
Stocks and ETFs	\$0.01 - \$0.04 per share
Mutual Funds	\$0.01 - \$0.04 per share
Options	\$1 per contract
Fixed Income	Mark up(down) up to 5% of principal amount

II. Account Fees	
Annual Inactivity Fee ²	\$30
Trade Extension (past settlement)	\$15
Trade Confirmation Fee (per trade)	\$1

III. Transfer Money or Assets	
Check Request	No charge
Check Request (Overnight)	\$25
Stop Payment	\$30
Returned Checks	\$15
Outgoing Wire Transfer	\$30
Returned Checks	\$75
Outgoing Account Transfer	\$15

IV. Physical Stock Certificates	
Security Transfers - Physical Stock Certificate	\$500
Security Transfers – DRS Registration	\$15
Security Transfers – Legal (name change, reorg)	\$150
Legal Return	\$65
Safekeeping Fee (monthly)	\$15

V. Cash Management Solutions	
Brokerage Access Check-Writing Debit	\$50
Brokerage Portfolio Gold	\$100
Brokerage Portfolio Platinum	\$150

VI. Retirement Accounts	
IRA Annual Maintenance Fee	\$35
IRA Termination Fee	\$95

¹ Fees described in this document may change from time to time without notice. Please refer to account agreement or consult your B. Riley's representatives for details.

² The Annual Inactivity Fee is not assessed on retirement accounts, accounts that have traded in the prior 12 months, or accounts with money market balances in excess of \$10,000.